## CANCER

# Statins enhance efficacy of venetoclax in blood cancers

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Statins have shown promise as anticancer agents in experimental and epidemiologic research. However, any benefit that they provide is likely context-dependent, for example, applicable only to certain cancers or in combination with specific anticancer drugs. We report that inhibition of 3-hydroxy-3-methylglutaryl coenzyme A reductase (HMGCR) using statins enhances the proapoptotic activity of the B cell lymphoma-2 (BCL2) inhibitor venetoclax (ABT-199) in primary leukemia and lymphoma cells but not in normal human peripheral blood mononuclear cells. By blocking mevalonate production, HMGCR inhibition suppressed protein geranylgeranylation, resulting in up-regulation of proapoptotic protein p53 up-regulated modulator of apoptosis (PUMA). In support of these findings, dynamic BH3 profiling confirmed that statins primed cells for apoptosis. Furthermore, in retrospective analyses of three clinical studies of chronic lymphocytic leukemia, background statin use was associated with enhanced response to venetoclax, as demonstrated by more frequent complete responses. Together, this work provides mechanistic justification and clinical evidence to warrant prospective clinical investigation of this combination in hematologic malignancies.

## INTRODUCTION

As part of a growing effort to repurpose U.S. Food and Drug Administration (FDA)–approved drugs to treat cancer (1), several groups have investigated whether 3-hydroxy-3-methylglutaryl coenzyme A reductase (HMGCR) inhibitors (statins) elicit anticancer activity. Some researchers have reported promising experimental and epidemiological findings, but the overall body of evidence is mixed, even within individual cancers such as breast cancer (2–5). Therefore, any benefit statins exert on cancer outcomes is likely context-dependent, and factors such as tumor type and drug combinations must be accounted for when delineating rational applications for statins. Defining these applications would present the rare opportunity to integrate a well-tolerated and relatively inexpensive treatment option to enhance the efficacy of cancer therapeutics.

Statins promote apoptosis in acute myeloid leukemia (AML) (6, 7), acute lymphoblastic leukemia, chronic myeloid leukemia, and multiple myeloma cell lines (8), and epidemiologic studies suggest improved outcomes of statin users in some hematologic malignancies (9, 10). Mechanistically, statins lower plasma cholesterol concentrations by inhibiting the rate-limiting enzyme of the mevalonate pathway. Inhibition of mevalonate production also suppresses the synthesis of isoprenoids that are required for the normal function of key oncogenic proteins like the Ras superfamily (11). Furthermore, statins have been shown to modulate B cell lymphoma-2 (BCL2) family proteins (12), which promote survival and chemoresistance in multiple cancers.

Overexpression of BCL2 is frequently associated with poorer patient outcomes in chronic lymphocytic leukemia (CLL), AML, and diffuse large B cell lymphoma (DLBCL) (13).

We sought to determine whether statins can enhance the anticancer effects of BH3 (BCL2 homology domain-3) mimetics, a class of anticancer drugs that promote apoptosis in susceptible cancer cells. These agents work by mimicking the effects of the BH3-only subset of proapoptotic proteins [BIM (BCL2-like 11), NOXA, PUMA (p53 up-regulated modulator of apoptosis), and HRK (Harakiri)], which antagonize their antiapoptotic counterparts [BCL2, BCL-$X_L$ (BCL2-like 1), and MCL1 (myeloid cell leukemia sequence 1)] and thereby promote cell death (13, 14). These agents include venetoclax (ABT-199), a selective BCL2 inhibitor, and navitoclax (ABT-263), a dual BCL2 and BCL-$X_L$ inhibitor. Venetoclax was recently granted accelerated FDA approval for del(17p) CLL that has progressed after at least one previous therapy (15). Here, we present preclinical observations made at two independent laboratories, as well as retrospective analyses of patient-level data from clinical studies of venetoclax monotherapy in CLL patients.

## RESULTS

### Statins selectively enhance the cytotoxicity of venetoclax against cancer cells in vitro

We first tested several human germinal center B cell–like DLBCL and AML cell lines for sensitivity to venetoclax, simvastatin, or the combination. Strikingly, in all three AML cell lines (OCI-AML2, OCI-AML3, and MOLM13) and in two of four DLBCL cell lines (OCI-LY8 and SU-DHL4), the combination of simvastatin and venetoclax induced more death than either treatment alone (Fig. 1A). In a separate laboratory, we confirmed the patterns seen in DLBCL cell lines and OCI-AML3 cells (fig. S1). We identified another AML cell line sensitive to this combination, HNT-34, and two additional AML cell lines with some sensitivity at higher statin concentrations (PL21 and UKE-1; fig. S1). The simvastatin/venetoclax combination was synergistic, as assessed by the median-effect method (fig. S2A) (16). Statins were effective at sensitizing two independent clones

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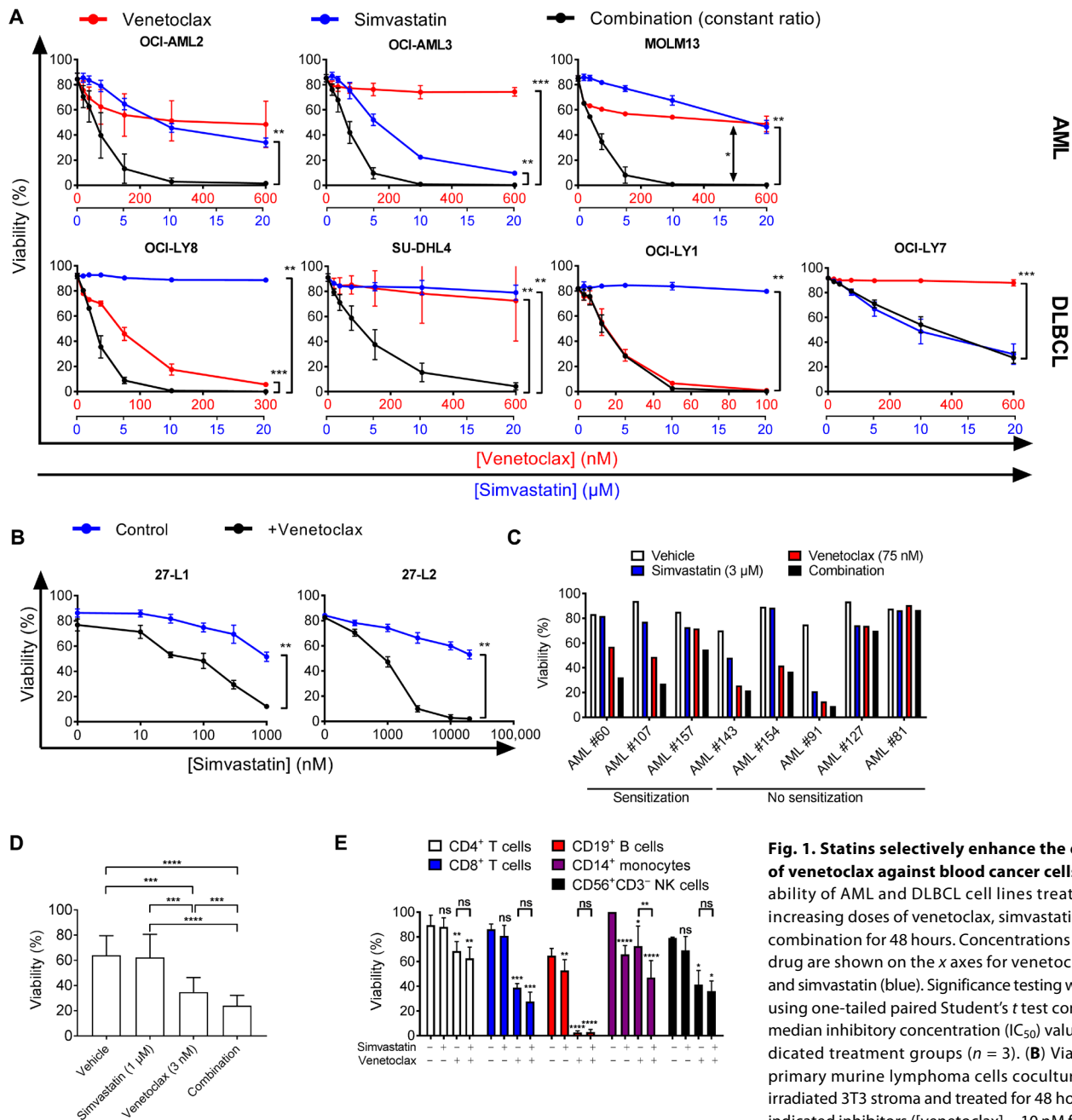
**Fig. 1. Statins selectively enhance the efficacy of venetoclax against blood cancer cells.** (**A**) Viability of AML and DLBCL cell lines treated with increasing doses of venetoclax, simvastatin, or the combination for 48 hours. Concentrations for each drug are shown on the *x* axes for venetoclax (red) and simvastatin (blue). Significance testing was done using one-tailed paired Student's *t* test comparing median inhibitory concentration ($IC_{50}$) values of indicated treatment groups ($n = 3$). (**B**) Viability of primary murine lymphoma cells cocultured with irradiated 3T3 stroma and treated for 48 hours with indicated inhibitors ([venetoclax] = 10 nM for 27-L1 and 1000 nM for 27-L2). Significance testing was done using one-tailed paired *t* test on $IC_{50}$ values ($n = 3$). (**C**) Viability of primary AML cells treated with simvastatin for 16 hours before addition of venetoclax for an additional 8 hours. Cell lines were classified as sensitized if their response to the combination exceeded the response to both single-agent treatments by greater than 10%. $n = 1$ for each AML sample. (**D**) Viability of primary CLL cells grown on NK.tert stroma and treated with simvastatin for 16 hours before addition of venetoclax for an additional 8 hours. One-way analysis of variance (ANOVA) with Tukey's multiple comparisons test. $n = 12$. Data for individual samples is provided in fig. S4A. (**E**) Viability of PBMC subsets treated with simvastatin (3 μM), venetoclax (100 nM), or the combination for 48 hours before staining. Significance testing was done by two-tailed paired Student's *t* test ($n \geq 3$). (A to E) In this and other figures, $*P < 0.05$, $**P < 0.01$, $***P < 0.001$. ns, not significant.

(27-L1 and 27-L2) of primary murine lymphoma cells expressing human *MYC* and *BCL2* oncogenes (*17*), representing human "double-hit" lymphoma (Fig. 1B and fig. S2B). The combination of simvastatin with navitoclax killed cells via the intrinsic apoptosis pathway (fig. S3, A to C). In particular, a pan-caspase inhibitor rescued the viability of cells treated with the combination (fig. S3A). Rapid cleavage of caspase 3, caspase 9, and poly(adenosine 5′-diphosphate–ribose) poly-

merase was observed after combination treatment (fig. S3, B and C). Overexpression of BCL2 or MCL1 increased the $IC_{50}$ of navitoclax but did not prevent sensitization by simvastatin in DLBCL cell lines (fig. S3D).

We next tested whether the combination was equally effective in primary human patient cells. The combination showed an increased effect relative to single-agent treatments in three of eight primary human AML samples (Fig. 1C). In agreement with previous reports

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(14, 18, 19), primary CLL cells (table S1) in short-term culture were sensitive to venetoclax, with 3 nM significantly reducing viability ($P < 0.001$; Fig. 1D). Simvastatin (1 μM) augmented venetoclax killing of primary CLL samples, including some with del(17p) (Fig. 1D, fig. S4A, and table S1). Furthermore, simvastatin partially reversed venetoclax resistance conferred by CD40L, which mimics T cell–derived survival signals present in the CLL microenvironment [fig. S4B and (20)].

Given that the combination was effective in three blood cancers, we next investigated whether the combination would exacerbate any toxicities in normal human peripheral blood mononuclear cell (PBMC) subsets. Venetoclax had modest toxicity in PBMCs except CD19[+] B cells (consistent with B cells being exquisitely sensitive to BCL2i), whereas simvastatin was only slightly toxic to CD14[+] monocytes. When simvastatin was combined with venetoclax, there was no enhanced toxicity in any subset except CD14[+] monocytes (Fig. 1E).

### Dynamic BH3 profiling predicts sensitization of DLBCL cell lines to venetoclax by simvastatin

The development of functional diagnostics for patient selection is a growing area of emphasis in precision medicine (21). One such approach is dynamic BH3 profiling (DBP), which measures how readily cells undergo mitochondrial outer membrane permeabilization before and after treatment (22, 23). DBP can rapidly predict whether a treatment is likely to enhance an apoptotic response, enabling patients to be matched with drugs to which their cancers are likely to be sensitive (24). We previously reported that DBP could be used to predict enhanced sensitivity of CLL and DLBCL to combinations involving BCL2 antagonists (25, 26). Similarly, in the two DLBCL cell lines where the combination of simvastatin with venetoclax was synergistic (fig. S2A), DBP identified increased mitochondrial priming by simvastatin (Fig. 2). This can be seen by the increased percentage of mitochondrial depolarization when peptides from BIM or PUMA are added to permeabilized cells after simvastatin treatment. Among BH3-only proteins, BCL2-associated agonist of cell death (BAD) binds both BCL2 and BCL-X$_L$, whereas HRK binds selectively to BCL-X$_L$ (22). Therefore, the increased response to BAD peptide but not to HRK suggests that simvastatin increases cellular dependence on BCL2 for survival. Both the priming effect and the sensitization to venetoclax were dose-dependent, with significant priming observed using 1 μM sim-
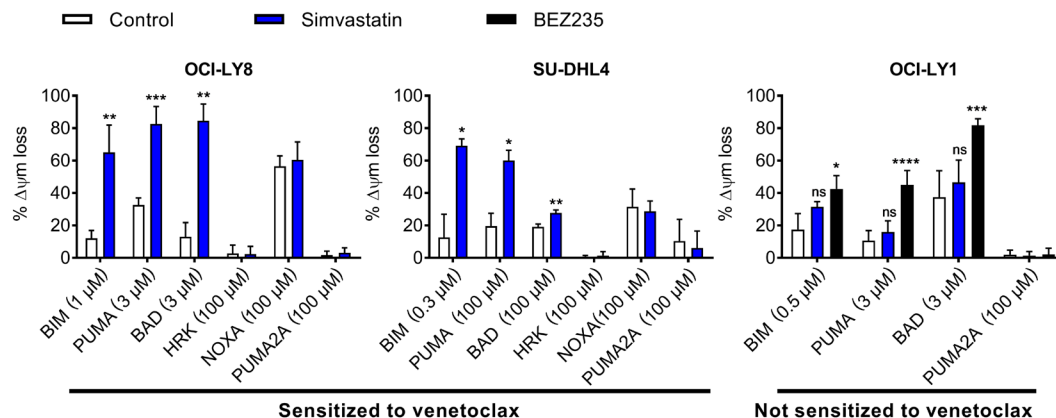
vastatin ($P < 0.05$; fig. S5A). In OCI-LY1 cells, where simvastatin did not synergize with venetoclax (Fig. 1A), there was no measurable increase in priming (assessed using BIM, PUMA, or BAD peptides) under conditions where the phosphoinositide 3-kinase (PI3K)/mammalian target of rapamycin (mTOR) inhibitor BEZ235 did increase priming (Fig. 2). The priming effect sensitized selectively to BCL2 antagonists, and simvastatin did not significantly increase sensitivity to either doxorubicin or vincristine in OCI-LY8 or SU-DHL4 cells (fig. S5B).

### Combination of statin and venetoclax is effective in a mouse model of lymphoma

We next sought to determine whether statins could synergize with venetoclax in vivo using a syngeneic mouse model of lymphoma. The murine lymphoma cell line 27-L1, which was sensitive to the combination in vitro (Fig. 1B), was injected into C57BL6/N mice (17) before treatment with venetoclax, simvastatin, or both agents together. After only 5 days of dosing, the combination significantly reduced lymphoma burden (%GFP[+]) in both lymph nodes and spleens compared to venetoclax treatment alone ($P < 0.05$; Fig. 3A). In addition, the degree of splenomegaly was significantly reduced in mice receiving both simvastatin and venetoclax relative to venetoclax alone ($P < 0.05$), simvastatin alone ($P < 0.01$), or vehicle ($P < 0.001$; Fig. 3B). We confirmed the activity of simvastatin at this dose by measuring unprenylated RAP1A (Fig. 3C), a marker of suppressed mevalonate production (27). In a separate in vivo cohort, the combination of simvastatin and venetoclax significantly extended survival of mice transplanted with lymphoma cells, whereas single-agent treatment had no effect ($P < 0.05$; fig. S6).

### Effect of statins is due to on-target inhibition of HMGCR

Several chemically distinct statins similarly enhanced the efficacy of venetoclax and navitoclax in lymphoma cell lines [figs. S1C and S7 (A and B)], suggesting that the effects were due to a shared on-target effect. Supplementing cells with mevalonate (the product of HMGCR activity) completely negated the enhanced killing effect conferred by simvastatin in DLBCL and AML cells (fig. S7, C and D). Notably, mevalonate specifically counteracted the effects of simvastatin but not of the dual PI3K/mTOR inhibitor BEZ235, which also synergizes with venetoclax in DLBCL (26). Collectively, these data show that the ability of statins to enhance killing by BCL2 inhibitors stems from on-target inhibition of HMGCR.

### Sensitization to venetoclax requires inhibition of protein geranylgeranylation

Mevalonate is used in several key cellular processes, including cholesterol biosynthesis, protein synthesis, and signal transduction (12). To determine which pathways downstream of HMGCR were critical for the sensitizing effect of statins, we investigated whether the addition of mevalonate metabolites required for these processes could also rescue cells from simvastatin.



**Fig. 2. DBP predicts sensitization to venetoclax by simvastatin.** Dynamic BH3 profiles for all cells treated with 10 μM simvastatin or 50 nM BEZ235 for 16 hours. Significance testing was performed by two-tailed paired Student's *t* test relative to vehicle-treated control samples. *n* = 4 (OCI-LY8) or 3 (SU-DHL4, OCI-LY1).
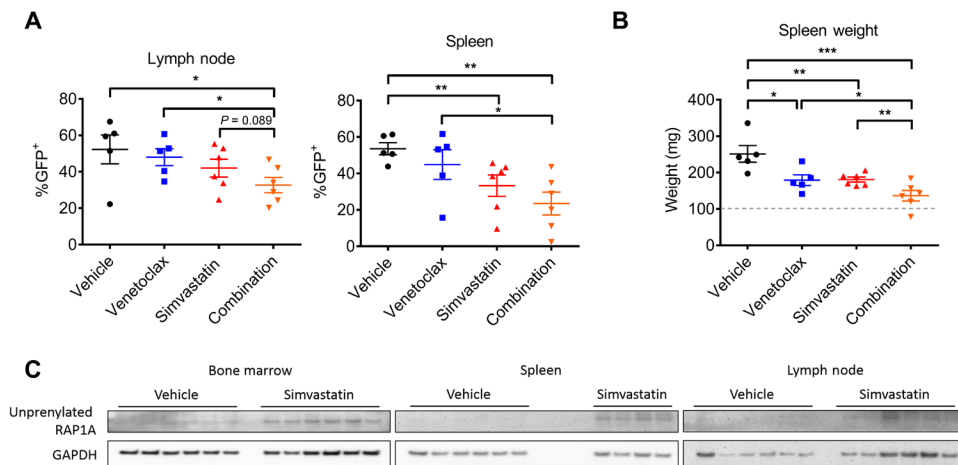
**Fig. 3. Combination of simvastatin and venetoclax is effective in a syngeneic mouse model of lymphoma.** (**A**) Percent lymphoma burden in indicated organs of mice treated with venetoclax (75 mg/kg per day, $n = 5$), simvastatin (50 mg/kg/ per day, $n = 6$), or the combination ($n = 6$) for 5 days. (**B**) Spleen weights for mice treated as in (A). Significance testing was done using unpaired one-tailed $t$ tests. (**C**) Western blots for pharmacodynamic (PD) effect of simvastatin (accumulation of unprenylated RAP1A) in indicated organs of mice treated as in (A). Note that two spleens from the simvastatin-treated group were lost due to errors in processing. GAPDH, glyceraldehyde-3-phosphate dehydrogenase.

We found that only addition of geranylgeranyl pyrophosphate (GGPP) could consistently rescue viability (Fig. 4A and fig. S8). Although a related metabolite, farnesyl pyrophosphate (FPP), moderately rescued some cells, cholesterol had no effect on the viability of cells treated with a combination of simvastatin and venetoclax or navitoclax. Both FPP and GGPP are required for protein prenylation, a posttranslational modification that mediates membrane localization (28). Therefore, to confirm reduced protein prenylation, we assessed unprenylated RAP1A (fig. S9A), a marker of reduced geranylgeranylation (27). In all DLBCL cell lines tested, treatment with simvastatin resulted in an accumulation of unprenylated RAP1A in a dose-dependent manner that correlated with single-agent cytotoxicity (in OCI-LY7 cells) or with the degree of sensitization to venetoclax (fig. S9B).

We next tested whether selective inhibition of either geranylgeranyl transferase (GGT) or farnesyl transferase (FT) was sufficient to recapitulate the effects of simvastatin. In most cell lines tested, the FT inhibitor (FTI-277) did not enhance the killing by venetoclax, whereas the GGT-1 inhibitor (GGTI-298) consistently sensitized cells to venetoclax (Fig. 4B and fig. S10A). We confirmed that each inhibitor adequately suppressed its specific target pathway by Western blotting for mobility shifts in HDJ-2 (a marker of FT inhibition) or the appearance of an unprenylated RAP1A band (Fig. 4C and fig. S10B). Collectively, these data suggest that inhibition of protein geranylgeranylation is both required and sufficient to sensitize cells to BCL2 antagonism in both DLBCL and AML cells.

We also tested whether GGTI-298 could prime DLBCL cells for apoptosis. In both OCI-LY8 and SU-DHL4 cell lines, where GGTI-298 sensitized cells to venetoclax, DBP detected increases in mitochondrial priming (Fig. 4D).

## Statins induce expression of PUMA and HRK
We next performed immunoblotting to investigate whether statins could affect the abundance of BCL2 family members. Although most BCL2 family proteins were unaltered by treatment with simvastatin

in the DLBCL cells analyzed (fig. S11, A and B), we observed consistent induction of the proapoptotic BH3-only protein PUMA in DLBCL and AML cell lines that were sensitive to the combination of statins and venetoclax (Fig. 5, A and B), as well as one primary mouse lymphoma line (fig. S11C). Two chemically distinct statins (simvastatin and fluvastatin) increased PUMA, indicating an on-target effect. In 11 of 12 primary CLL samples, treatment with simvastatin (3 μM) for 16 hours increased *BBC3* mRNA (encoding PUMA) from two- to fivefold (fig. S11D). Simvastatin treatment resulted in accumulation of PUMA at the mitochondria (Fig. 5C and fig. S11B), where it can neutralize antiapoptotic BCL2 family proteins. In support, PUMA association with BCL2 increased after simvastatin treatment (fig. S12).

To investigate the mechanism of increased PUMA expression, we tested whether statins affected the amounts of PUMA transcript. Notably, both simvastatin and fluvastatin increased the abundance of *BBC3* mRNA in OCI-LY8 and SU-DHL4 cell lines (Fig. 5D), consistent with these cell lines being sensitive to the priming effect of statins (Figs. 1A and 2A). Statins did not affect the amount of *BBC3* transcript in OCI-LY1 cells (Fig. 5D), which were insensitive to the combination (Figs. 1A and 2A) and did not up-regulate PUMA protein in response to statins (Fig. 5A). The amount of *HRK* transcript increased with a similar pattern as *BBC3* in DLBCL cells (Fig. 5D); however, *HRK* did not increase in CLL cells treated with simvastatin (fig. S11D). We observed similar patterns of *BBC3* induction with simvastatin and GGTI-298 in DLBCL cells (Fig. 5D), supporting the hypothesis that statins and GGTIs affect priming through a similar mechanism. Simvastatin did not enhance venetoclax killing of OCI-AML3 cells in which PUMA was stably knocked down (fig. S13), supporting the functional importance of PUMA up-regulation.

Transcriptional induction of *BBC3* encoding PUMA can be driven by p53 or forkhead box subgroup O (FOXO) transcription factors (29). However, statins did not affect FOXO phosphorylation, p53 phosphorylation, or total p53 expression in OCI-LY8 or SU-DHL4 cells (fig. S14A), consistent with these lines having mutations in p53 (30, 31). In OCI-AML3 cells, which have functional p53, expression of a p53 dominant-negative mutant (GSE56) blocked PUMA induction and venetoclax sensitization by a DNA-damaging agent, etoposide, but not by simvastatin (fig. S14, B and C). Thus, our data suggest that inhibition of the mevalonate pathway primes hematologic cancer cells by increasing expression of PUMA in a manner independent of p53 or FOXO.

## Complete remission rates are increased among statin users in venetoclax CLL clinical trials
To evaluate the clinical relevance of our findings, post hoc analyses of clinical trial data were conducted using patient-level data pooled from three single-arm, open-label phase 1 or 2 trials of venetoclax monotherapy in CLL patients. Study 1 [NCT01889186 (32)] enrolled patients

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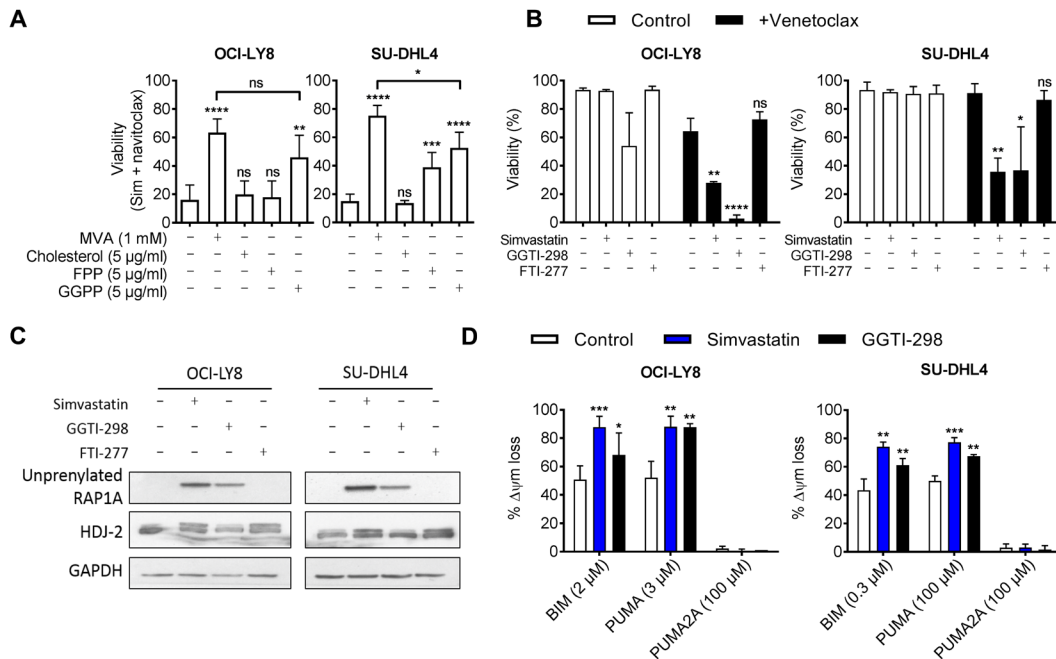
**Fig. 4. Sensitization to venetoclax requires inhibition of protein geranylgeranylation.** (**A**) Viability of cells treated with the combination of 300 nM navitoclax and 10 μM simvastatin supplemented with indicated metabolites for 48 hours. MVA, mevalonate. *n* = 4 (OCI-LY8 control and GGPP), *n* = 3 (OCI-LY8 MVA/cholesterol and all SU-DHL4 groups). (**B**) Viability of cells treated as indicated with 10 μM simvastatin, GGTI-298, or FTI-277 with (black bars) or without (white bars) venetoclax (30 nM for OCI-LY8 and 300 nM for SU-DHL4) for 48 hours. Viability was assessed by flow cytometry using annexin-V and propidium iodide double negativity. *n* = 3 [Sim and FT inhibitor (FTI)], *n* = 5 or 6 [GGT inhibitor (GGTI) and dimethyl sulfoxide (DMSO)]. (**C**) Western blot of cells treated with 10 μM of indicated inhibitors for 16 hours. Statin and FTI-277 but not GGTI-498 caused a mobility shift of HDJ-2 (slower migrating, unprenylated form). Statin and GGTI-498 but not FTI-277 induced the appearance of unprenylated RAP1A. (**D**) Dynamic BH3 profile of cells treated with 10 μM simvastatin (blue bars) or GGTI-298 (black bars) for 16 hours. *n* = 5 (OCI-LY8, DMSO, and Sim), *n* = 2 (OCI-LY8, GGTI), *n* = 4 (SU-DHL4, all conditions). Significance testing was performed by two-tailed paired Student's *t* test relative to vehicle-treated control samples in (A) and (B), one-tailed in (D).

with relapsed/refractory (R/R) del(17p) CLL; study 2 [NCT01328626 (*15*)] was a first-in-human dose-escalation study in hematologic malignancies that included a cohort of patients with R/R CLL or small lymphocytic lymphoma (SLL); study 3 [NCT02141282 (*33*)] enrolled patients with CLL previously treated with the B cell receptor inhibitors (BCRis) ibrutinib or idelalisib. The target dose in each study was 400 mg once daily, the approved dose for del(17p) CLL, except in the dose-escalation period of study 2, where doses ranged from 150 to 1200 mg once daily. This analysis compared investigator-assessed response between patients with and without background statin use for the following end points: overall response rate (ORR), which reflects the proportion of subjects with any response (partial or complete); the composite complete remission (CR) rate, which includes subjects who achieved CR or CR with incomplete bone marrow recovery (CRi); progression-free survival (PFS); and overall survival (OS).

Across studies, most of the patients (289 of 338, 85.5%) were assigned to receive 400 mg of venetoclax. The other 15% participated in the dose-escalation portion of study 2. Twenty-two percent of patients (75 of 338) were receiving a statin as background therapy; simvastatin and atorvastatin each represented about 35% of statin use. Mean duration of treatment for both subgroups was about 18 months. More than 90% of subjects in both groups were white; statin users were more frequently male (79% versus 67%) and more often older

than 65 years of age (73% versus 54%). More statin users had previously received a BCRi and were enrolled at U.S. sites, whereas fewer statin users had a baseline absolute lymphocyte count $\geq 25 \times 10^9$/liter. The demographics of each subgroup are provided in table S2.

In the pooled analysis, ORR was similar for patients with and without background statin use (76% versus 75%, respectively; Fig. 6A). However, more statin users achieved CR both within the individual studies and overall, with cumulative CR rates of 26.7% versus 15.2% in the pooled analysis, and an unadjusted odds ratio [95% confidence interval (CI)] of 2.03 (1.099, 3.740) in favor of statin users; results were consistent when adjusted for baseline covariates (Fig. 6, B and C). The corresponding multivariable-adjusted odds ratio for CR was 2.676 (1.338, 5.351; *P* = 0.0054) in favor of statin users. PFS and OS results were consistent with the CR results, with respective multivariable-adjusted hazard ratios of 0.61 (0.383, 0.956; *P* = 0.0312) and 0.50 (0.247, 1.007; *P* = 0.0524; Fig. 6D and fig. S15),

which was statistically significant for PFS. Results were similar when the analyses were repeated by including only the subset of patients (*n* = 289) whose target dose was 400 mg (fig. S16). Table S3 includes a summary of the safety findings across studies. Overall, there were no unexpected toxicity differences between the two subgroups.

Pharmacokinetic analysis demonstrated no significant effect of background statin use on venetoclax exposures. Dose-normalized peak ($C_{max}$) and area under the curve (AUC) exposures of venetoclax were comparable between patients who reported taking statins as compared to those who did not (fig. S17).

## DISCUSSION

Although there is abundant research on potential uses for statins as anticancer agents, no clear indications for their use have been confirmed to date. Here, we report a series of experiments and analyses that highlight a priority area for further exploration, namely, the use of statins in combination with BH3 mimetics as a treatment for hematologic malignancies. Preclinical work from two different laboratories independently demonstrated that multiple statins profoundly enhance the ability of venetoclax and navitoclax to kill cancer cells. The combination of statins with venetoclax was cytotoxic to DLBCL, CLL, and AML cells and was effective at reducing lymphoma burden in a syngeneic mouse model of BCL2/MYC-driven double-hit lymphoma.
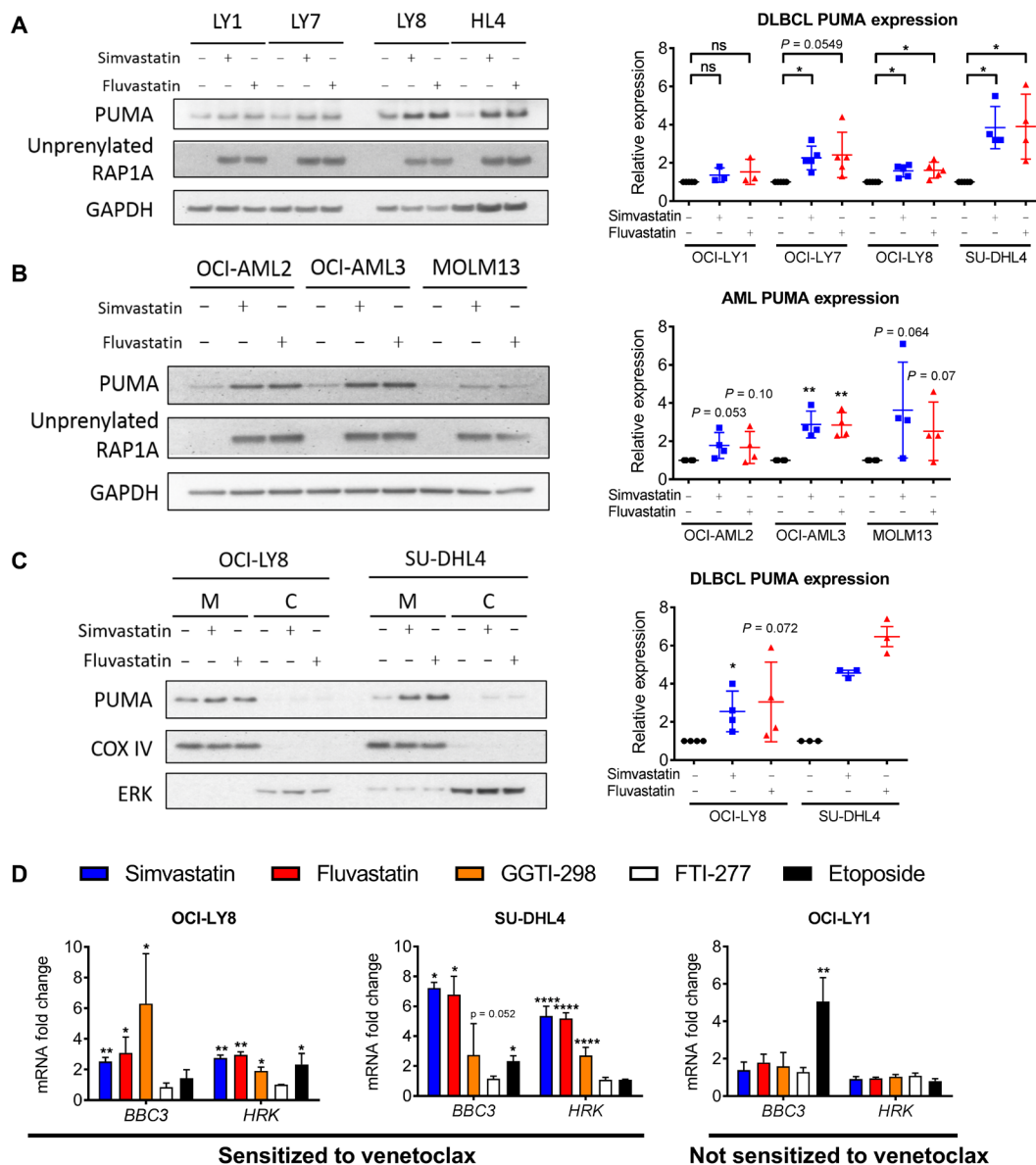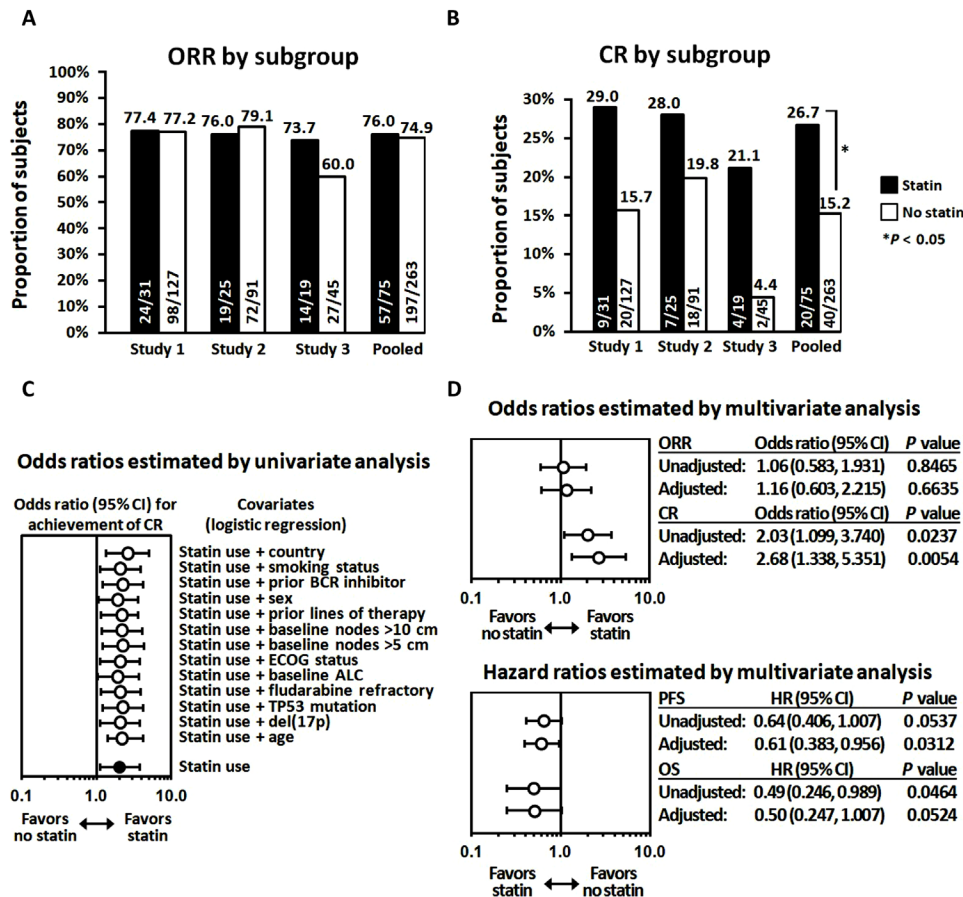
**Fig. 5. Statins induce transcription of PUMA and HRK in DLBCL and AML cell lines.** (**A** and **B**) Western blot (left) and quantification (right) of whole-cell lysates from DLBCL cells (A) or AML cells (B) treated with 10 μM of indicated inhibitors for 16 hours. (**C**) Western blot (left) and quantification (right) of mitochondria-enriched fractions from DLBCL cells treated with 10 μM of indicated inhibitors for 16 hours. M, mitochondrial fraction; C, cytoplasmic fraction. COX IV is a mitochondrial marker, and extracellular signal–regulated kinase (ERK) is a cytoplasmic marker. (**D**) Quantitative polymerase chain reaction of DLBCL cell lines treated with 10 μM of indicated inhibitors for 16 hours. (A to C) Paired one-tailed t tests, n = 3 to 5. (D) One-way ANOVA with Dunnett's post-test (all samples compared to control).

sitization to venetoclax. Retrospective analyses of venetoclax clinical trials in relapsed CLL demonstrated that patients with background statin use were more likely to achieve CR, providing strong support for the clinical relevance of our findings.

Note that preclinical experiments reported here and elsewhere examining pleiotropic effects of statins are typically conducted with low to midmicromolar concentrations, whereas the concentrations observed clinically are generally in the low nanomolar range (*34*). However, our retrospective analysis of clinical trial data supports the clinical relevance of the preclinical findings, particularly given that statin-treated patients in these studies were receiving standard doses for concurrent cardiovascular indications. It is also important to acknowledge that there are inherent limitations associated with the retrospective, nonrandomized comparisons such as the post hoc subgroup analyses presented here; nonetheless, the nature of the end points evaluated in the current work increases confidence in the findings. Specifically, in each clinical study included in the analysis, investigators were required to follow International Working Group on CLL guidelines to assess response. In addition, achievement of CR required documented resolution in objective measures of disease burden, including improvement in hematologic laboratory parameters, resolution of lymphadenopathy as evidenced by computerized tomography scan, and clearance of CLL bone marrow infiltrate as determined by biopsy. Hence, the objective nature of these parameters and the use of multivariable analyses to account for baseline differences in patient demographics provide additional confidence in the results. Nevertheless, the deeper responses observed among statin users in these analyses require confirmation in a prospective manner before widespread implementation can be considered. It would also be interesting to test whether statins enhance clinical responses to emerging CLL combination treatments such as venetoclax with rituximab (*35*).

In contrast, synergism was not observed when statins were combined with other agents (doxorubicin or vincristine). From a mechanistic standpoint, statins appeared to enhance proapoptotic activity of BCL2 protein inhibition by suppressing protein geranygeranylation, which resulted in up-regulation of the proapoptotic BH3-only protein PUMA in DLBCL and AML cell lines and in primary CLL cells. Notably, inhibition of protein geranylgeranylation was both necessary and sufficient to recapitulate the sensitizing effect of statins and to increase expression of PUMA. Knockdown experiments supported the concept that PUMA up-regulation is important for statin sen-

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**Fig. 6. Response to venetoclax was enhanced among statin users in CLL clinical trials.** (**A**) Proportion of CLL subjects in clinical studies of venetoclax monotherapy who achieved any response, depicted as ORR by investigator assessment; results are shown by the presence or absence of statin use in individual studies and when pooled. (**B**) Proportion of subjects in these studies who achieved CR by investigator assessment; this result reflects the composite of subjects who achieved CR or CRi. (**C**) Odds ratio for achievement of CR across the pool of studies when adjusted for individual covariates. ECOG, Eastern Cooperative Oncology Group; ALC, absolute lymphocyte count. (**D**) Adjusted and unadjusted odds ratios for achievement of ORR and CR and hazard ratios for PFS and OS estimated by multivariate analyses across the pool of studies.

that venetoclax may exacerbate this toxicity through shared targeting of BCL2. However, the venetoclax studies included in this analysis did not reveal any new safety concerns, and there were no major differences with respect to adverse events of interest such as myalgia or tumor lysis syndrome. However, it must be recognized that patients included in these trials generally had long-standing statin use based on medical history and presumably were tolerating these agents well before initiation of treatment with venetoclax, and the tolerability profile of the combination may differ in patients who are naïve to both treatments. In addition, in preclinical studies, simvastatin did not enhance venetoclax toxicity among most PBMC subpopulations. Collectively, these results suggest that the combination may retain an acceptable safety profile and achieve reasonable cancer cell selectivity.

A goal of precision medicine is to develop biomarkers and/or diagnostics that can match patients with efficacious drugs. Although variability in response to combined BCL2 and HMGCR inhibition was observed across cell lines, statin-induced mitochondrial priming (measured by DBP) correlated with increased sensitivity to the combination. Further studies are needed to determine whether these observations translate to primary patient cells, but these data illustrate the potential of DBP as a functional diagnostic. In addition to predictive diagnostics, we also identified markers of PD response that correlate with enhanced sensitivity to venetoclax. In particular, accumulation

Exposure-response analyses on venetoclax in subjects with CLL showed that higher venetoclax exposures are associated with higher probability of achieving complete responses and longer PFS (*36*, *37*). Therefore, we explored the possibility that statins improve response rates simply by increasing venetoclax exposures. The analysis showed no difference in venetoclax exposures between patients who reported taking statin drugs as compared to those who did not. These results were consistent with the current knowledge about factors affecting venetoclax pharmacokinetics (*38*–*41*).

Although the observation of improved response among patients receiving a standard statin dose is appealing, given the relatively well-described and well-tolerated safety profile of these agents, higher statin dosing may further augment the efficacy of this combination. In support, several studies have reported achievable systemic exposures reaching the micromolar range (*42*, *43*). A remaining concern is whether the combination will exacerbate any single-agent toxicities. Whereas the dose-limiting toxicity of venetoclax is tumor lysis syndrome, statins are associated with myotoxicity [particularly myositis (*44*)]. Previous work on smooth muscle cells suggests that statins can induce apoptosis via down-regulation of BCL2 (*45*), cautioning

of unprenylated RAP1A can determine the extent of statin-induced suppression of protein prenylation, and increased PUMA may be used to predict cells that respond to the combination. PUMA induction cooperates with BH3 mimetics to antagonize antiapoptotic BCL2 proteins and promote apoptosis and therefore represents a functionally relevant PD readout. Across DLBCL and AML cell lines and primary CLL cells, only those cells that up-regulated PUMA expression after statin treatment were more sensitive to combination with venetoclax.

One limitation of our study is that the geranylgeranylated proteins and downstream pathways that drive PUMA up-regulation remain to be defined. PUMA is the product of the p53 target gene *BBC3*, suggesting that statins may induce mitochondrial priming through activation of p53. However, the combination of statins and venetoclax was equally effective across primary CLL samples irrespective of p53 status and occurred in p53-deficient DLBCL cells (SU-DHL4) and in an AML cell line expressing dominant-negative p53. Furthermore, clinical data demonstrating enhanced responses to venetoclax with concomitant statin use in del(17p) CLL patients strongly support a p53-independent mechanism (study 1 was the

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largest individual clinical study and enrolled exclusively del(17p) patients). In support, early work (46) using prenyltransferase inhibitors identified p53-independent mechanisms of apoptosis. Statin effects may be broader in cells with mutant p53, based on their ability to destabilize mutant p53 to enable the function of wild-type p53 (47). Overall, the finding that statins have activity regardless of p53 status broadens the potential for statins as apoptotic sensitizers and provides a strong rationale for testing statins prospectively.

Our results support a growing body of evidence regarding the importance of the mevalonate pathway in cancer (11). More specifically, this work provides a preclinical foundation and clinical evidence warranting prospective clinical evaluation of combining statins and BH3 mimetics to treat blood cancers.

## MATERIALS AND METHODS
### Study design
Initial experiments were designed to test the hypothesis that combining statins and venetoclax could synergize to kill blood cancer cell lines. These experiments were performed in controlled laboratory settings, repeated three times (unless otherwise noted), and were consistently observed by two independent laboratories. On the basis of these results, our initial hypothesis expanded to interrogate the generalizability (across cell lines, cancer subtypes, and species), in vivo reproducibility (using a syngeneic mouse model), and clinical relevance (by retrospective analyses of human clinical trial data) of these findings. In parallel, we also sought to elucidate the mechanism of synergy with the goal of identifying markers of PD response in controlled laboratory experiments using primary human cells, as well as human and mouse cell lines. Unless otherwise noted, no data or outliers were excluded from any analyses. For in vivo studies, mice were randomized upon initiation of dosing, and animal caretakers and investigators were blinded to the treatment groups. Sample size was predetermined by power analysis to reliably detect 20% differences between treatment groups. Details of the clinical analyses are included below. Sample numbers and numbers of replicates performed for each experiment are included in the figure legends. Original data are provided in table S4.

### Animal studies
All animal studies were conducted in accordance with guidelines of the University of California Institutional Animal Care and Use Committee. Ten-week-old female C57BL/6N mice were purchased from Charles River Laboratory and sublethally irradiated (4G) 24 hours before injection of lymphoma cells through the tail vein. Lymphoma burden was measured by fluorescence-activated cell sorting using peripheral blood collected via Goldenrod animal lancets (Braintree Scientific Inc.). Drug administration was performed by oral gavage with vehicle formulations as follows: venetoclax in 10% ethanol, 30% polyethylene glycol 400, and 60% Phosal 50 PG, and simvastatin in 0.5% methylcellulose and 0.1% Tween 80.

### Chemicals
Simvastatin, atorvastatin calcium salt, rosuvastatin calcium salt, and fluvastatin sodium salt were obtained from Cayman Chemical Company. Simvastatin was activated as reported elsewhere (48). Venetoclax and navitoclax were obtained from Active Biochem. InSolution Q-VD-OPh was obtained from EMD Millipore. NVP-BEZ235 was obtained from LC Laboratories. Doxorubicin, vincristine, meval-

onate, squalene, cholesterol, FPP, and GGPP were all obtained from Sigma-Aldrich.

### BH3 profiling
BH3 profiling of DLBCL cells was performed as described previously (26). Briefly, cells were pretreated for 16 hours with either DMSO or indicated compounds. Cells were then harvested and washed with phosphate-buffered saline and then incubated in trehalose-based buffer (300 mM trehalose, 10 mM Hepes, 80 mM potassium chloride, 1 mM EGTA, 1 mM EDTA, 0.1% bovine serum albumin, and 5 mM succinic acid) with 200 nM JC-1 (Life Technologies), 0.001 to 0.005% digitonin (Sigma-Aldrich), and oligomycin (10 µg/ml; Sigma-Aldrich) with either DMSO or BH3-only peptides for 60 min before analysis using a FACSCalibur (Becton Dickinson). The sequences and method of synthesis of BH3-only peptides were described previously (49). The PUMA2A peptide contains alanine substitutions that abolish its binding interactions with BCL2 family proteins and therefore served as a negative control. Percent depolarization caused by each BH3-only peptide was calculated as the percent difference in the JC-1 red fluorescence (590 nm) relative to DMSO-treated control cells. Doses of peptides for each cell line were empirically chosen on the basis of minimal effects on mitochondrial depolarization (akin to an $IC_{10}$ concentration).

### Pharmacokinetic analysis
Venetoclax samples were collected at steady state (week 3, 6, or 7) of study 2 enrolling patients with CLL/SLL or non-Hodgkin lymphoma. Pharmacokinetic parameters $C_{max}$ and AUC were calculated using noncompartmental methods in Statistical Analysis System (SAS) version 9.2. These parameters were normalized to the administered dose to allow comparisons across all doses assuming pharmacokinetic linearity (50). For all analyses, the actual dose received by the patient, rather than the assigned dose, was used in calculations. If the 24-hour post-dose concentration value at steady state (such as week 6 day 1 and week 7 day 1) was not available, then the pre-dose (0 hour) concentration on that same day was used to calculate $AUC_{24}$. Venetoclax steady-state pharmacokinetic data available from patients who were reported to have been taking statins concomitantly were used to assess whether statins affect venetoclax exposures. Although food has a marked effect on venetoclax pharmacokinetics (51), all steady-state pharmacokinetic data were collected under low-fat conditions, which allowed an integrated analysis.

### Statistical analysis
Statistical analyses of preclinical data were performed in the GraphPad Prism software version 5c (GraphPad Software). Unless otherwise indicated, results indicate means ± SD of three independent experiments. $P < 0.05$ was considered statistically significant and was annotated throughout as *$P < 0.05$, **$P < 0.005$, ***$P < 0.001$, and ****$P < 0.0001$. For drug synergy calculations, combination index versus fraction affected curves were generated using a CalcuSyn software (Biosoft).

Statistical analyses included clinical data collected through 10 June 2016. Statin therapy was identified from investigator reports of concurrent medication use, and subjects with any statin use while receiving venetoclax were included in the statin subgroup. Response criteria followed the International Workshop on Chronic Lymphocytic Leukemia (IWCLL) National Cancer Institute–Working Group (NCI–WG) 2008 guidelines and were based on investigator assessment. For the univariate analyses, odds ratios and 95% CIs were calculated for ORR and CR and compared via Fisher's exact test; CIs

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were determined by the Wald method. PFS and OS were analyzed by Kaplan-Meier methodology. Multivariate analyses were conducted by using Cox proportional hazard model (for OS and PFS) and logistic regression model (ORR and CR) with implementation of a stepwise model selection procedure. No adjustments were made for multiple comparisons. Analyses were conducted using SAS 9.4.

## SUPPLEMENTARY MATERIALS

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Materials and Methods

Fig. S1. Simvastatin enhances the effects of venetoclax on a subset of AML and DLBCL cell lines.

Fig. S2. Statins synergize with venetoclax in blood cancer cells.

Fig. S3. Simvastatin plus venetoclax induce apoptosis in DLBCL and AML cell lines.

Fig. S4. Simvastatin enhances killing of primary CLL samples cultured with stimuli from the microenvironment.

Fig. S5. Statins induce dose-dependent increase in mitochondrial priming but do not sensitize to chemotherapy.

Fig. S6. The combination of statin with venetoclax extends survival of mice with syngeneic B cell lymphoma.

Fig. S7. The effect of statins is due to on-target HMGCR inhibition.

Fig. S8. Mevalonate and GGPP are sufficient to rescue from the effects of simvastatin.

Fig. S9. Simvastatin inhibits protein geranylgeranylation in a dose-dependent manner in DLBCL.

Fig. S10. Inhibition of GGT is sufficient to recapitulate the effects of simvastatin in AML cell lines.

Fig. S11. Simvastatin does not affect expression of many major BCL2 family proteins but does increase PUMA.

Fig. S12. Simvastatin increases association of BCL2 with PUMA in sensitive OCI-AML3 cells but not in resistant OCI-LY1 cells.

Fig. S13. PUMA knockdown in OCI-AML3 cells rescues them from sensitization to venetoclax by simvastatin.

Fig. S14. Statins increase PUMA expression through a mechanism independent of p53 in DLBCL and AML cells.

Fig. S15. Statin use is associated with longer PFS in CLL patients treated in venetoclax clinical trials.

Fig. S16. Response to venetoclax was enhanced in CLL clinical trials among patients who received the 400-mg statin dose.

Fig. S17. Statins do not affect venetoclax pharmacokinetics.

Table S1. Characteristics of CLL patient samples.

Table S2. Characteristics of CLL patients in three clinical trials of venetoclax monotherapy grouped by background statin use.

Table S3. Adverse events in CLL patients in three clinical trials of venetoclax monotherapy grouped by background statin use.

Table S4. Raw data (in a separate Excel file).

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# Science Translational Medicine

## Statins enhance efficacy of venetoclax in blood cancers

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### Yet another health benefit of statins

Statins, common drugs used for the treatment of high cholesterol, may have found another potential application. In addition to their effects on the cholesterol pathway, statins can also inhibit the activity of BCL2 and related proteins, which interfere with apoptosis in many cancer types. In light of this connection, Lee *et al.* examined the effects of statins on several types of leukemias and lymphomas. The authors determined that statins prime cancer cells for apoptosis and work in synergy with drugs such as venetoclax, an inhibitor of BCL2. In addition to demonstrating this synergy in mouse models, the authors provided data from three studies of patients with chronic lymphocytic leukemia, showing that patients receiving statins had better clinical responses to venetoclax.

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